

AUDIT AND RISK COMMITTEE

4th February 2020

STRATEGIC RISK REGISTER

Report of the Strategic Director for Resources

Strategic Aim:	All		
Exempt Information	No		
Cabinet Member(s) Responsible:	Mr O Hemsley, Leader and Portfolio Holder for Rutland One Public Estate & Growth, Tourism & Economic Development, Communications, Resources (other than Finance)		
Contact Officer(s):	Saverio Della Rocca, Director for Resources	01572 758159	sdrocca@rutland.gov.uk
Ward Councillors	n/a		

DECISION RECOMMENDATIONS

That the Committee notes the content of the Risk Register and the actions underway to address the risks.

1 PURPOSE OF THE REPORT

- 1.1 To present the Strategic Risk Register to the Committee and provide assurance that strategic risks are being adequately managed.

2 STRATEGIC RISK REGISTER

- 2.1 The Risk Register was reviewed following production of the new Corporate Plan and feedback from Audit and Risk in July 2019. The Committee were positive about the changes.
- 2.2 One further change we will look to make is to include a summary of Directorate risks in an addendum to the Risk Register. This has not yet been completed as work on the General Election, Budget and Local Plan has been prioritised.

2.3 The dashboard below summarises the updates since the last published version.

<p>Number of Risks</p> <p>12</p>	<ul style="list-style-type: none"> • A new control has been added to Risk 72 (Disruption to Council Services related to Brexit impact or uncertainty). This control reflects attendance at a number of regional and national meetings connected to Brexit resilience and preparation. • Comments on a number of risks have been updated to reflect progress/changes since last Audit and Risk meeting, these are: <ul style="list-style-type: none"> ○ Risk 7: Failure to identify infrastructure needs to support growth and put in place plans to deliver it. ○ Risk 78: Failure to achieve expectations of customers across key service areas. ○ Risk 10: Failure to protect the health and safety of employees and member of the public. ○ Risk 72: Disruption to Council Services related to Brexit impact or uncertainty. ○ Risk 73: There is a risk we cannot recruit to key vacant posts in the Places Directorate. ○ Risk 74: There is a risk that the Council is not financially stable in the medium term. ○ Risk 75: Risk of serious financial/reputational damage from a data breach.
<p>Risks added since last review</p> <p>0</p>	
<p>Risks removed since last review</p> <p>0</p>	
<p>Number of open actions</p> <p>17</p>	
<p>Actions added since last review</p> <p>1</p>	<ul style="list-style-type: none"> • An action related to the completed of an action plan (Risk 75: Risk of serious financial/reputational damage from a data breach) has been completed. This action is now a control on the risk, and the risk score has been revised to take into account the new control. The residual score has been amended from 9 to 6. • An action for the refresh of the Infrastructure Delivery Plan has been completed (Risk 7: Failure to identify infrastructure needs to support growth and put in place plans to deliver it).
<p>Actions completed since last review</p> <p>2</p>	

3 CONSULTATION

- 3.1 No consultation is necessary; the purpose of the report is to update the Committee on the latest position.

4 ALTERNATIVE OPTIONS

- 4.1 This report provides an opportunity for the Audit and Risk Committee to review the Register therefore there are no alternative options.

5 FINANCIAL IMPLICATIONS

- 5.1 There are no direct financial implications arising from this report but the Committee should note that the failure to manage risks effectively could have a financial impact on the Council.

6 LEGAL AND GOVERNANCE CONSIDERATIONS

- 6.1 As set out in the terms of reference within the constitution, this Committee has responsibility to provide assurance of the adequacy of the Risk Management framework and control environment.
- 6.2 There are no legal implications arising from this report.

7 DATA PROTECTION IMPLICATIONS

- 7.1 A Data Protection Impact Assessments (DPIA) has not been completed because there are no service, policy or organisational changes being proposed.

8 EQUALITY IMPACT ASSESSMENT

- 8.1 An Equality Impact Assessment (EqIA) has not been completed because there are no service, policy or organisational changes being proposed.

9 COMMUNITY SAFETY IMPLICATIONS

- 9.1 There are no community safety implications.

10 HEALTH AND WELLBEING IMPLICATIONS

- 10.1 There are no health and wellbeing implications.

11 CONCLUSION AND SUMMARY OF REASONS FOR THE RECOMMENDATIONS

- 11.1 The Committee's role is to monitor the effective development and operation of risk management and corporate governance. The Risk Register sets out the strategic risks facing the Council and demonstrates how they are being managed.

12 BACKGROUND PAPERS

12.1 There are no additional background papers.

13 APPENDICES

13.1 Appendix A: Strategic Risk Register

A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.